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Signature

Applicant : Craig L. Ogg  
Application No. : 09/690,796  
Filed : October 17, 2000  
Title : SECURE AND RECOVERABLE DATABASE FOR ON-LINE VALUE-BEARING ITEM SYSTEM

Grp./Div. : 3621  
Examiner : Salvatore A. Cangialosi

Docket No. : 39477/SAH/S850

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GROUP 3600

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.97(b)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Post Office Box 7068  
Pasadena, CA 91109-7068  
September 21, 2004

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. The filing is timely because it is made during one of the periods described 37 CFR § 1.97 (b).


It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

**Application No. 09/690,796**

The Commissioner is hereby authorized to charge any fees which may be required by this paper to Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction. **A copy of this paper is enclosed.**

Respectfully submitted,

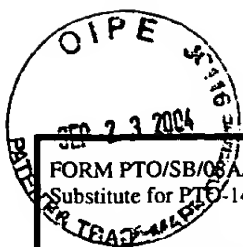
CHRISTIE, PARKER & HALE, LLP

By  \_\_\_\_\_  
Art Hasan  
Reg. No. 41,057  
626/795-9900

SAH/tll

Enclosures:

Copy of IDS  
Form PTO/SB/08A/B, w/references  
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FORM PTO/SB/08A/B (10-01)  
Substitute for PTO-1449A/B

**INFORMATION DISCLOSURE**

**STATEMENT BY APPLICANT**

(use as many sheets as necessary)

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Applicant(s)	Craig L. Ogg
Group Art Unit	3621
Examiner Name	Salvatore A. Cangialosi

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		4,447,890	05-08-1984	Duwel et al.
		4,757,537	07-12-1988	Edelmann et al.
		4,933,849	06-12-1990	Connell et al.
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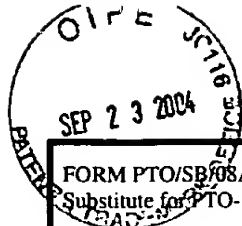
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		5,781,438	07-14-1998	Lee et al.
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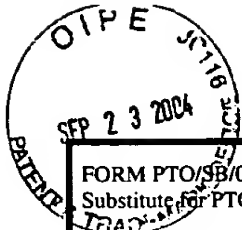
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**FOREIGN PATENT DOCUMENTS**

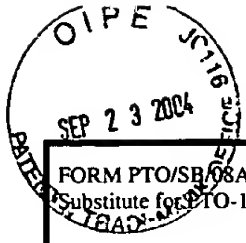
EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)
		EP 0 360 225 A2	03-28-1990	Pastor	
		EP 0 576 113 A2	12-29-1993	Heinrich, et al.	
		EP 0 604 146 A3	06-29-1994	Herbert	
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		EP 0 604 148 A3	06-29-1994	Herbert	

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		EP 0 647 925 A2	04-12-1995	Pintsov, et al.	
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		WO 00/70503 A1	11-23-2000	Brookner	
		WO 01/50227 A2	07-12-2001	Mattern, et al.	

**OTHER DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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